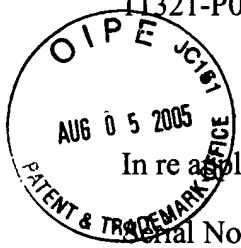


ATTORNEY DOCKET NO.

11321-P034D1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Smalley, et al.

Serial No.: 10/755,490

Filing Date: January 12, 2004

Art Unit: 1762

Title: *Polymer-Wrapped Single Wall Carbon Nanotubes*

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Applicant hereby submits the following references in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of the references cited in the attached PTO/SB/08A are not enclosed nor required; copies of the referenced cited in the attached PTO/SB/08B are enclosed for the examiner's reference. Furthermore, pursuant to 37 C.F.R. § 1.97(g) and (h), no representation is made that this is material to patentability of the present application or that a search has been made.

Applicant hereby submits that claims of Applicant's referenced patent application are patentably distinguishable from these references.

A filing fee of \$180.00 is enclosed. Applicant does not believe any other fees are required at this time; if other fees are necessary, the Director of Patents and Trademarks is hereby authorized to charge any fees relating to this Supplemental Information Disclosure Statement

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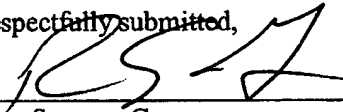
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under 37 CFR § 1.17 to Deposit Account No 23-2426 of WINSTEAD SECHREST & MINICK
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Dated:

8/3/05

Respectfully submitted,



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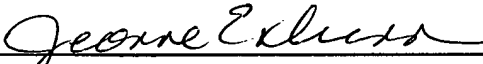
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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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of

6

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Application Number	10/755,490
Filing Date	January 12, 2004
First Named Inventor	Smalley, et al.
Art Unit	1762
Examiner Name	E. Tsoy
Attorney Docket Number	11321-P034D1

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,183,714	02/06/01	Smalley, et al.	
		US- 6,250,984	06/26/01	Jin, et al.	
		US- 6,322,713	11/27/01	Choi, et al.	
		US- 6,623,337	09/23/03	Scott, et al.	
		US- 6,630,772	10/07/03	Bower, et al.	
		US- 6,712,864	03/30/04	Horiuchi, et al.	
		US- 6,749,712	06/15/04	Kuper	
		US- 2003/0075682	04/24/03	Colbert, et al.	
		US- 60/284,419		Hauge, et al.	
		US- 60/268,228		Smalley, et al.	
		US- 60/227,184		Kuper	
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		WO 00/17102	03/30/00	Smalley, et al.		
		WO 00/17101	03/30/00	Margrave, et al.		
		WO 00/26138	05/11/00	Smalley, et al.		
		WO 98/39250	09/11/98	Smalley, et al.		

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Sheet	2	of	6	Attorney Docket Number	11321-P034D1

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		Ajayan et al., "Growth morphologies during cobalt catalyzed single-shell carbon nanotube synthesis," 215 Chem. Phys. Lett. (1993), pp. 509-517		
		Ausman, et al., "Organic solvent dispersions of single-walled carbon nanotubes: toward solutions of pristine nanotubes", 104 J. Phys. Chem. B (2000). pp. 8911		
		Bethune et al., "Cobalt catalyzed growth of carbon nanotubes with single atomic layer walls," 63 Nature (1993), pp. 605-607		
		Boul, et al., "Reversible sidewall functionalization of bucktubes", 310 Chem. Phys. Lett. (1999), pp. 367-372		
		Chen, J. et al., "Solution properties of single-walled carbon nanotubes", 282 Science (1998), pp. 95-98		
		Ding, et al., "Direct observation of polymer sheathing in carbon nanotube-polycarbonate composites", Vol. 3 Nanoletters, No. 11 (2003), pp. 1593-1597		
		Dresselhaus, G. et al., "Science of Fullerenes and Carbon Nanotubes", Chap. 19, (1996), pp. 756-760		
		Ebbesen et al., "Large-scale synthesis of carbon nanotubes," 358 Nature (July 16, 1992), pp. 220-222		
		Ebbesen et al., "Carbon nanotubes," 24 Annual Review of Materials Science, (1994), pp. 235-264		
		Girifalco, et al., "Carbon nanotubes, buckyballs, ropes, and a universal graphitic potential", 62 Physical Review B (2000), pp. 13104-13110		

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		First Named Inventor	Smalley, et al.
		Art Unit	1762
		Examiner Name	E. Tsoy
Sheet	3	of	6
		Attorney Docket Number	11321-P034D1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Hertel et al., "Manipulation of individual carbon nanotubes and their interaction with surfaces", 102 J. Phys. Chem. B, (1998), pp. 910-915	
		Hone, et al., "Electrical and thermal transport properties of magnetically aligned single wall carbon nanotube films", 77 Appl. Phys. Lett. (2000), pp. 666-668	
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		Jing-Kong et al., "Nanotube Molecular Wires as Chemical Sensors" 287 Science (2000), pp. 622	
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		Lambert et al., "Improving conditions toward isolating single-shell carbon nanotubes," 266 Chem. Phys. Lett. (1994), pp. 364-371	
		Lee et al. "Observation of magnetic-field-modulated energy gap in carbon nanotubes", 115 Solid State Communications (2000), pp. 467-471	

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		Art Unit	1762		
		Examiner Name	E. Tsoy		
Sheet	4	of	6	Attorney Docket Number	11321-P034D1

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		Liu, et al., "Controlled deposition of individual single-walled carbon nanotubes on chemically functionalized templates", 303 Chem. Phys. Lett. (1999), pp. 125-129	
		Mamedov, et al., "Molecular design of strong single-wall carbon nanotube/polyelectrolyte multilayer composites", 1 Nature Materials (2002), pp. 190-194	
		Nikolaev, et al., "Gas-phase catalytic growth of single-walled carbon nanotubes from carbon monoxide", 313 Chem. Phys. Lett. (1999), pp. 91-97	
		Odom et al, "Structure and electronic properties of carbon nanotubes", 104 J. Phys. Chem B (2000), pp. 2794-2809	
		Rinzler, et al., "Large-scale purification of single-wall carbon nanotubes: process, product and characterization," 67 Applied Physics A, (1998), pp. 29-37	
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		Seraphin et al., "Single-walled tubes and encapsulation of nanocrystals into carbon clusters," 142 Electrochem. Soc. 1 (1995), pp. 290-293	
		Shaffer et al., "Fabrication and characterization of carbon nanotube/poly(vinyl alcohol) composites", 11 Adv. Mat. 11 (1999), pp. 937-941	

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		Shi, et al., "Plasma coating of carbon nanofibers for enhanced dispersion and interfacial bonding in polymer composites", 83 Appl. Phys. Lett. 25 (2003), pp. 5301-3		
		Slepyan et al., "Electronic and electromagnetic properties of nanotubes", 57 Phys. Rev. B 16 (1998), pp. 9485-9497		
		Smith, et al., "Structural anisotropy of magneticall aligned single wall carbon nanotube films", 77 Appl. Phys. Lett. 5 (2000), pp. 663-665		
		Sreekmar, et al., "Polyacrylonitrile single-walled carbon nanotube composite fibers", 16 Adv. Mater. 1 (2004), pp. 58-61		
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		Venema, et al., "Imaging electron wave functions of quantized energy levels in carbon nanotubes", 283 Science (1999), pp. 52-55		
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		Yakobson and Smalley, "Fullerene nanotubes: C1,000,000 and beyond", 85 Am. Sci. (1997), pp. 324-337		
		Zhou et al., "Single-walled carbon nanotubes growing radially From YC2 particles," 65 Appl. Phys. Lett. (1994), pp.1593-1595		
		Riggs, et al., "Strong luminescence of solubilized carbon nanotubes", 122 J. Am. Chem. Soc. (2000), pp. 5879-5880		

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